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PTO/SB/08A (08-00)

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Substitute for form 1449A/PTO

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 6

Application Number 10/755,946
Filing Date January 13, 2004
First Named Inventor Francisco J. Ayala
Group Art Unit 800-2121
Examiner Name NOT YET KNOWN
Attorney Docket Number 128180-1000

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ²	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ³ (if known)			
G.D.		5,568,590	B1	Tolson	10-22-1996	
		5,617,483	B1	Osawa	04-01-1997	
		5,633,988	B1	Takatori et al.	05-27-1997	
		5,640,494	B1	Jabri, et al.	06-17-1997	
		5,704,016	B1	Shigematsu et al.	12-30-1997	
		5,717,832	B1	Steimle et al.	02-10-1998	
		5,720,002	B1	Wang	02-17-1998	
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		5,870,729	B1	Yoda	02-09-1999	
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		5,937,432	B1	Yamaguchi et al.	08-10-1999	

FOREIGN PATENT DOCUMENTS

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		Office ³	Number ⁴	Kind Code ³ (if known)				

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George Davis

Date Considered

2/17/05

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i> Sheet <u>3</u> of <u>6</u>	Complete if Known <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>10/755,946</td> </tr> <tr> <td>Filing Date</td> <td>January 13, 2004</td> </tr> <tr> <td>First Named Inventor</td> <td>Francisco J. Ayala</td> </tr> <tr> <td>Group Art Unit</td> <td>608-2121</td> </tr> <tr> <td>Examiner Name</td> <td>NOT YET KNOWN</td> </tr> <tr> <td>Attorney Docket Number</td> <td>128180-1000</td> </tr> </table>	Application Number	10/755,946	Filing Date	January 13, 2004	First Named Inventor	Francisco J. Ayala	Group Art Unit	608-2121	Examiner Name	NOT YET KNOWN	Attorney Docket Number	128180-1000
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Filing Date	January 13, 2004												
First Named Inventor	Francisco J. Ayala												
Group Art Unit	608-2121												
Examiner Name	NOT YET KNOWN												
Attorney Docket Number	128180-1000												

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		Number	Kind Code ² (if known)			
G.D.		5,974,404	B1	Moed et al.	10-28-1999	
		6,038,338	B1	Nguyen	03-14-2000	
		6,151,592	B1	Inazumi	11-21-2000	
		6,205,556 B1	B1	Watanabe et al.	03-20-2001	
		6,269,351 B1	B1	Black	07-31-2001	
		6,349,293 B1	B1	Yamaguchi	02-19-2002	
		6,366,897 B1	B1	Means et al.	04-02-2002	
		6,415,272 B1	B1	Ulyanov	07-02-2002	
		6,449,603 B1	B1	Hunter	09-10-2002	
		6,516,309 B1	B1	Eberhart et al.	02-04-2003	
		6,553,357 B2	B2	Mathias et al.	04-22-2003	
		6,601,053 B1	B1	Schaffer et al.	07-29-2003	
		Pub. No. 0014378	A1	Goodnight et al.	01-16-2003	
		Pub. No. 0018598	A1	Cawse et a.	01-23-2003	
		Pub. No. 0059154	A1	Rodvold	05-16-2002	
		Pub. No. 0004904	A1	Kirshenbaum	01-02-2003	
			B1			

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Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
		Application Number	10/755,946
		Filing Date	January 13, 2004
		First Named Inventor	Francisco J. Ayala
		Group Art Unit	688 2121
		Examiner Name	NOT YET KNOWN
Sheet 4 of 6	Attorney Docket Number	128180-1000	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
G.D.		HALLINAN, J., et al., 1998; <u>Cooperative Evolution Of A Neural Classifier And Feature Subset</u> ; Proc. 2nd Asia-Pacific Conference on Simulated Evolution and Learning	
G.D.		HARTER, D. et al., 2001; <u>Models of Ontogenetic Development for Autonomous Adaptive Systems</u> ; Proceedings of the 23rd Annual Conference of the Cognitive Science Society, pp. 405-410	
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G.D.		KAELBLING, L.P., et al. <u>Reinforcement Learning: A Survey</u> , (1996), <i>Journal of Artificial Intelligence Research</i> 4: 237-285.	
G.D.		TOURETZKY, D.S., et al., (1997) <u>Operant Conditioning in Skinnerbots</u> . <i>Adaptive Behavior</i> 5:219-247.	
G.D.		BYOUNT-TAK ZHANG, et al., <u>Evolutionary Induction of Sparse Neural Trees</u> , <i>Evolutionary Computation</i> , 1997, Vol. 5, No. 2, pp. 213-236	

Examiner Signature	George Davis	Date Considered	2/17/05
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Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	10/755,946
		Filing Date	January 13, 2004
		First Named Inventor	Francisco J. Ayala
		Group Art Unit	688 2121
		Examiner Name	NOT YET KNOWN
(use as many sheets as necessary)		Attorney Docket Number	128180-1000
Sheet	5	of	6

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
G.D.		BYOUNG-TAK ZHANG, et al., <u>Evolutionary Neural Trees for Modeling and Predicting Complex Systems</u> ; <i>Engng. Applic. Artif. Intell.</i> Vol 10, No. 5 pp. 473-483, 1997	
G.D.		KOZA, JOHN R., 1989, <u>Hierarchical Genetic Algorithms Operating on Populations of Computer Programs</u> , Reprinted: Computer Science Department, Stanford University, Stanford, CA; pp. 578-584	
G.D.		F. PASEMANN; <u>Pole-Balancing with Different Evolved Neurocontrollers</u> ; Max-Planck-Institute for Mathematics in the Sciences, D-04103 Leipzig, Germany; pp. 1-7	
G.D.		J.R.KOZA, et al.; <u>Evolving Inventions</u> ; <i>Scientific American</i> ; 2003; pp. 52-59	
G.D.		H.LIPSON, et al.; <u>Automatic Design and Manufacture of Robotic Lifeforms</u> ; <i>Nature</i> , Vol. 406, 31 August 2000; pp. 974-978	
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G.D.		R.E.Lenski, et al.; <u>The Evolutionary Origin of Complex Features</u> ; <i>Nature</i> , Vol. 423, 8 May 2003; pp. 139-144	
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G.D.		P.D. WASSERMAN, et al.; <u>Neural Networks, What are they and Why is Everybody so Interested in Them Now?</u> ; Part 2; Institute of Electrical and Electronics Engineering <i>Expert</i> , Spring 1988; pp. 10-15	
G.D.		R.S.SCALERO, et al.; <u>A Fast New Algorithm for Training Feedforward Neural Networks</u> ; Institute of Electrical and Electronics Engineering; Transactions on Signal Processing. Vol. 40, No. 1, January 1992; pp. 202-210	

Examiner Signature	G. George Davis	Date Considered	2/17/05
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Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/755,948
		Filing Date	January 13, 2004
		First Named Inventor	Francisco J. Ayala
		Group Art Unit	600-2121
		Examiner Name	NOT YET KNOWN
Sheet 6 of 6	Attorney Docket Number	128180-1000	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

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G.D.		K.E. KINNEAR, JR.; <u>Evolutionary Computers 1, Basic Algorithms and Operators</u> , Beck, T. et al. (eds) 2000; Institute of Physics Publishing, Bristol; pp. 102-113	
G.D.		P.J. ANGELINE; <u>Evolutionary Computers 1, Basic Algorithms and Operators</u> , Beck, T. et al. (eds) 2000; Institute of Physics Publishing, Bristol; pp. 102-113	
G.D.		D.TVETER; <u>The Pattern Recognition Basis of Artificial Intelligence</u>	
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G.D.		A.K. BANSAL; <u>Establishing a Framework for Comparative Analysis of Genome Sequences</u> ; IEEE First International Symposium on Intelligence in Neural and Biological Systems May 29-31 1995; p. 84	
G.D.		M.HILARIO, et al.; <u>A Metalevel Architecture for Knowledge-Based neural Network Design</u> ; IEEE 9 th International Conference on Tools with Artificial Intelligence; November 2-8, 1997	

Examiner Signature	George Davis	Date Considered	2/17/05
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 1

Complete If Known

Application Number	10/755,946
Filing Date	January 13, 2004
First Named Inventor	Francisco J. Ayala
Art Unit	2121
Examiner Name	Not yet known
Attorney Docket Number	128180-1000

NON PATENT LITERATURE DOCUMENTS

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